

THE OHIO STATE UNIVERSITY

Overview

The Regularity Hypothesis

- · Sound change is regular
- · Sound change is conditioned by phonetics only

(Osthoff & Brugmann 1878)

Classical Lexical Diffusion

- · Sound change propagates gradually through the lexicon
- Other factors (e.g. frequency, syntactic category) play a role

(Wang 1969, Bybee 2017)

Present Issue

- · Suzhou Chinese shows a lexically-determined pronunciation alternation, "Differing Literary and Colloquial Readings" ("文白異讀")
- · Literary and Colloquial forms follow different courses of change

(Qian 1992, Ye 1993)

Research questions

- · Is the Differing Readings an exception to the regularity principle? Is it a diffusional change?
- If not, what other factors are in play?

• Differing Literary and Colloquial Readings

- Gives same morpheme/character different pronunciations
- · Colloquial: **native** lexical items, **casual** register
- · Literary: loanwords, technical terms, formal register.
- Not a case of polyphony

(Chao 1928, Wang 1955, Shen 2012)

	Word	Gloss	Suzhou	Mandarin	
Literary	爭論	n. dispute	[tsen]	[tsəŋ]	
Colloquial	爭	v. to quarrel	[tsã:]		
Literary	生物	n. biology	[sən]	[con]	
Colloquial	生活	n. life	[sã:]	[ʂອŋ]	

• The Analysis — Phonetics-induced Change

Coarticulatory effects

- · Coda tends to agree in place of articulation with preceding nuclei
 - *əŋ > ən (/ə/, /n/ are unmarked for back; /ŋ/ is [+back])
 - *oŋ > oŋ, *ɒŋ > \tilde{p} ŋ (/o/, /ŋ/, /p/ are all [+back])
- · Palatalized onsets palatalize ('front') following nuclei
 - → *C^jəη > Cɪn
 - * C^{j} pŋ > C^{j} ã:

Misperception & Reconstruction

- 'Distorted' phonetic signals misperceived & reconstructed in subsequent generations $*V\eta > \tilde{V}$
- *aŋ > ã:
- * $\eta > \tilde{\eta} > \tilde{\eta}$:
- * C^{j} $p\eta > C^{j}\tilde{a}$:

	Speaker		Listener	turned	Speaker
	/aŋ/		/ã/	\rightarrow	
	\downarrow		\uparrow		\downarrow
	distorted as		interpreted as		produced a
	\downarrow		\uparrow		\downarrow
(Ohala 1981, Yu 2015)	$[\tilde{a}(\mathfrak{y})]$	\rightarrow heard as \rightarrow	[ã]		[ã]

Literary/Colloquial Split

- · Only found in *Bəŋ, *aŋ and *Baŋ; clearly conditioned by phonetic environments
- *Bəŋ and *Baŋ pattern together
- · Literary pronunciation appears to be lexically-determined, but should actually be treated as loanwords from Mandarin
- · For the group *aŋ (爭)
 - *aŋ > \tilde{a} : in Colloquial forms
 - [əŋ] (from Mandarin) > ən in Literary forms
- · For the group *Bəη/*Baŋ (朋, 孟)
 - A merger between *Bəŋ and *Baŋ (*Bəŋ > *Baŋ) *Baŋ > Bã: in Colloquial forms
 - [əŋ] (from Mandarin) > ən in Literary Old forms only
 - Literary/Colloquial distinction was lost in later generations (not enough vocabulary to keep a robust distinction?)

• The Data

Reconstruction	Mandarin Example	L-Old	L-Mid	L-Young	C-Old	C-Mid	C-Young
*əŋ	恆 [həŋ]	ən					
*Bəŋ	朋 [pʰəŋ]	Bən Bã:					
*C ^j əŋ	命 [mʲəŋ]	Cīn					
*aŋ	爭 [ʈʂəŋ]		ən			ã:	
*Baŋ	孟 [məŋ]	Bən			Bã:		
*Haŋ	横 [həŋ]	Hã:					
*pŋ	嘗 [tsʰaŋ]	ηã	ŕ	Ď:	õη	Ý	ŏ:
*C ^j ɒŋ	兩 [l ⁱ aŋ]	C ^j ã:					
*oŋ, *C ^j oŋ	絨 [zoŋ], 兄 [ɕoŋ]	Coŋ, C ^j oŋ					

- · Reconstruction taken from Pulleyblank (1984, 1991)
- Onset is shown when relevant.
- · C: any onset; B: labial onset; H: glottal onset
- · L: literary; C: colloquial;

· Old: oldest generation; Mid: middle-aged generation; Young: youngest generation

Some irrelevant changes omitted

• Conclusions & Limitations

- All sound change processes are phonetically conditioned and regular
- · What appeared to be 'lexical diffusion' is actually due to language contact, and is also fully regular
 - · [əŋ] (from Mandarin) > ən, mirroring the *əŋ > ən change internally in Suzhou
 - If Literary Readings were truly diffusional, we would expect **diffusion/bleeding** (more forms carrying [ən] in the lexicon) overtime; the exact opposite happens in reality
- The constraints/rules operating on the language-internal (Colloquial) domains also apply to language-external (Literary) forms; nothing is 'exceptional' to the grammar