

# Remarks on the bicategory of von Neumann algebras

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Quantum Symmetries Students Seminar QSSS

Ohio State University OSU

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# Plan for the talk

## ■ Bicategories

- Review
- Bicategories of  $C^*$ -algebras
- The Buss-Meyer-Zhu programme

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- The bicategory  $W^*$
- First open questions on  $W^*$
- Symmetric monoidal structures on  $W^*$

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## ■ Double categories of von Neumann algebras

- Review on double categories
- The double category BDH
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# Bicategories

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All composition operations are assumed to be **strictly associative** and **strictly unital**. Vertical and horizontal compositions are assumed to be compatible, i.e. satisfy the **Echange property**.

# Diagrammatic representation: Globular diagrams

Let  $B$  be a 2-category. We represent objects, 1-morphisms, and horizontal 1-dim composition as:

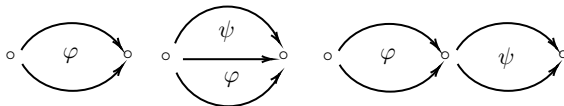


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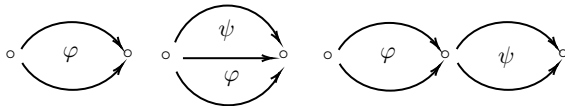


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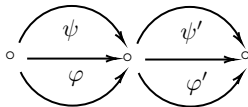
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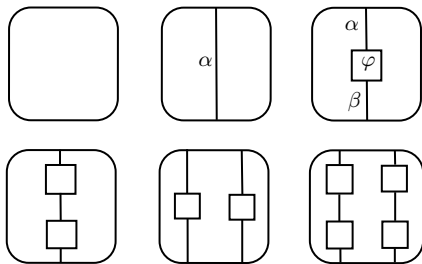


Exchange relation:



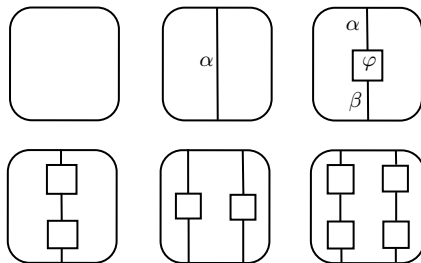
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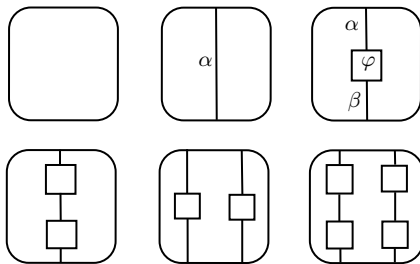


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**Notation:** We will also represent 2-morphisms as solid arrows, i.e.  $\varphi : \alpha \Rightarrow \beta$  will denote a 2-morphism from  $\alpha$  to  $\beta$ .

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for every triple  $(\alpha, \beta, \gamma)$  of compatible horizontal morphisms in  $B$ , natural with respect to  $(\alpha, \beta, \gamma)$ .

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- **Unitors:** Invertible 2-morphisms

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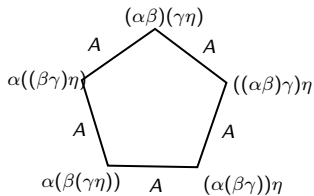
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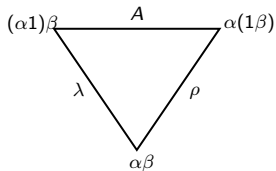
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Satisfying the **MacLane pentagon and triangle equations**, i.e. satisfying:

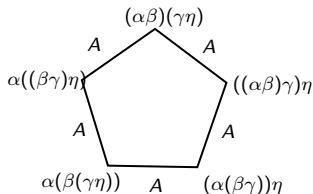
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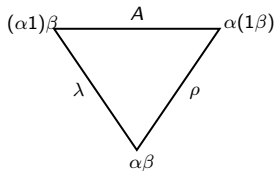
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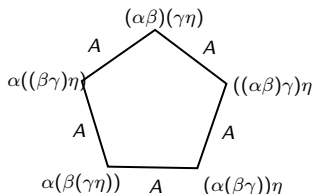


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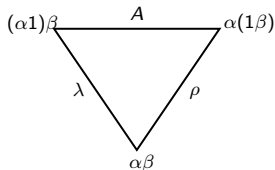


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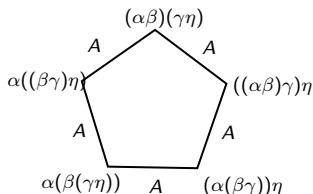
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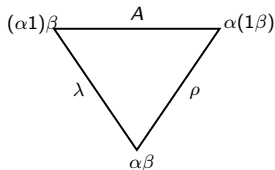
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Weakened equalities=weakened equations. Can define weak isomorphisms, duality, monads, comonads, etc. 'Functors' between bicategories are defined through analogous coherence equations. Called pseudofunctors.

# Basic examples

- **Locally discrete 2-categories:** Let  $C$  be a category.  $\underline{C}$  denotes the 2-category whose 0- and 1-morphisms are objects and morphisms of  $C$  and whose only 2-morphisms are identities. Horizontal 1-dimensional composition is defined by the composition operation of  $C$ . We call  $\underline{C}$  the **locally discrete 2-category** associated to  $C$ .

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- **Algebras: Mod** has algebras as objects, bimodules  ${}_A M_B$  as horizontal morphisms, bimodule morphisms as 2-morphisms. Vertical composition is usual composition of morphisms, relative tensor product  $M \otimes_B N$  as horizontal composition. Horizontal identity of  $A$  is the trivial bimodule  ${}_A A_A$ .

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# Bicategories of $C^*$ -algebras

1. **\*-representations:**  $\mathcal{C}^*(2)$  denotes the 2-category with  $C^*$ -algebras as objects, \*-representations, i.e. non-degenerate \*-morphisms  $f : A \rightarrow \mathcal{M}(B)$  (eq. strongly continuous  $f : \mathcal{M}(A) \rightarrow \mathcal{M}(B)$ ) as horizontal morphisms and unitary intertwiners as 2-morphisms. Horizontal 1-dim composition is the usual composition of morphisms, vertical 2-dim composition is product of intertwiners and 2-dim horizontal composition is:  $f, g : A \rightrightarrows B$ ,  $f', g' : B \rightrightarrows C$ ,  $u : f \Rightarrow g$  and  $v : f' \Rightarrow g'$  then  $u * v = vf'(u) = g'(u)v$ . **Strict 2-category.**

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# Weak isomorphisms

## Lemma

*Let  $A, B$   $C^*$ -algebras. Let  $f : A \rightarrow \mathcal{M}(B)$  be a  $*$ -representation of  $A$  in  $B$ .  $f$  is a weak isomorphism in  $\mathfrak{C}^*(2)$  if and only if  $f$  restricts to a  $C^*$ -algebra isomorphism from  $A$  to  $B$ . Thus  $A, B$  are weakly isomorphic in  $\mathfrak{C}^*(2)$  if and only if  $A, B$  are isomorphic.*

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Landsman N. P. Bicategories of operator algebras and Poisson manifolds. Fields Institute communications, 2001, Vol. 30, 271-286

# Weak group actions

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Satisfying:

# Weak group actions

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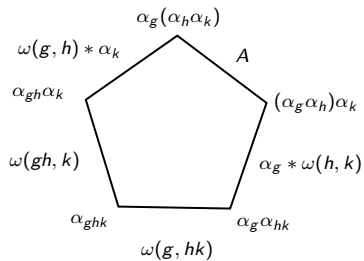
1. A set of horizontal morphisms  $\alpha_g : g \in G$ , where  $\alpha_g : a \rightarrow a$ .
2. A 2-morphism  $u : id_a \Rightarrow \alpha_1$ .
3. A 2-morphism  $\omega(g, h) : \alpha_g * \alpha_h \Rightarrow \alpha_{gh}$  for every  $g, h \in G$ .

Satisfying:

The diagram shows two commutative triangles. The left triangle has vertices  $\alpha_1 * \alpha_g$  (top-left),  $\alpha_{1g} = \alpha_g * \alpha_1$  (top-right), and  $id_a * \alpha_g$  (bottom). The edges are labeled  $\omega(1, g)$  (top),  $u * \alpha_g$  (left), and an unlabeled edge (right). The right triangle has vertices  $\alpha_g * \alpha_1$  (top-left),  $\alpha_{g1} = \alpha_g$  (top-right), and  $\alpha_g * id_a$  (bottom). The edges are labeled  $\omega(g, 1)$  (top),  $\alpha_g * u$  (left), and an unlabeled edge (right).

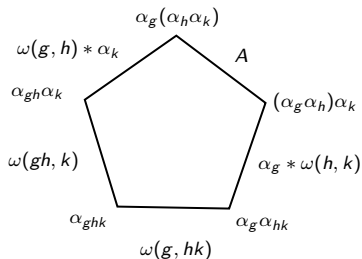
and:

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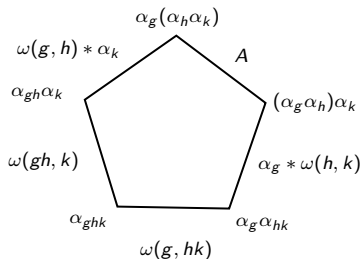


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**Intuition:** The above **cocycle equations** tell us how to substitute expected composite values of elements of  $G$  under the action  $\alpha$ . The 2-morphisms  $u$  and  $\omega$  are part of the data provided by  $\alpha$ .

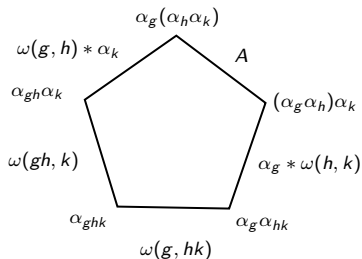
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Equivariant morphisms of actions and deformations are defined in the 'obvious' way. The above definition, and the notions of equivariant morphisms and deformations admit extensions to weak 2-groupoids.

# Actions on $C^*$ -algebras

## Theorem (Buss, Meyer, Zhu 09')

*Let  $A$  be a  $C^*$ -algebras. Let  $G$  be a group. Weak actions of  $G$  on  $A$  in  $\mathfrak{C}^*(2)$  are the Busby-Smith twisted actions of  $G$  on  $A$  [Busby, Smith 70'].*

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**Conjecture:** Weak actions of inverse semigroups on  $\mathcal{C}\text{orr}(2)$ .

# The bicategory of von Neumann algebras

# Morphisms and bimodules of von Neumann algebras

Let  $A, B$  be vN algebras. A morphism from  $A$  to  $B$  is a normal unital  $*$ -morphism from  $A$  to  $B$ . Write  $\mathbf{vN}$  for the category of von Neumann algebras and their morphisms.

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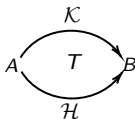
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We wish to organize the above pictures into a bicategory  $W^*$ . **Have:** Pictures, i.e. Objects, 1-morphisms, 2-morphisms and the usual composition of intertwiners as vertical 2-dim composition. **Need:** Horizontal identity and horizontal composition. **Nontrivial/technical.**

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# Weak isomorphisms in $W^*$

## Theorem (Landsman '01)

*Let  $A, B$  be von Neumann algebras.  $A, B$  are weakly isomorphic in  $W^*$  if and only if  $A, B$  are strong Morita equivalent [Rieffel 74'], i.e. if and only if there exists a faithful  ${}_A\mathcal{H}_B$  such that  $A' = B^{\text{op}}$ .*

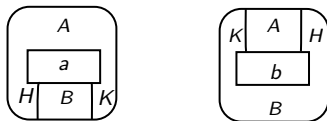


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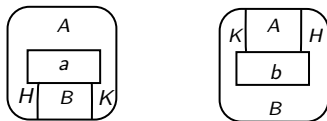


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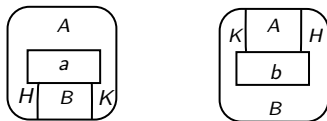
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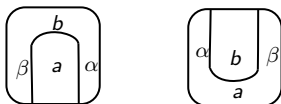


Strong Morita equivalence carries some formal homotopy information. We have a pictorial calculus telling us when two vN algebras are strong Morita equivalent.

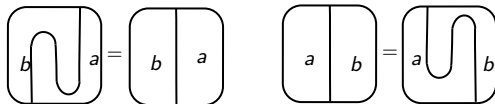
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## Definition

Let  $B$  be a bicategory.  $\alpha : a \rightarrow b$  and  $\beta : b \rightarrow a$  horizontal morphisms.  $\beta$  **left dual** of  $\alpha$  (eq.  $\alpha$  **right dual** of  $\beta$ ) if there exist 2-morphisms:



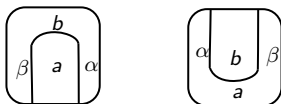
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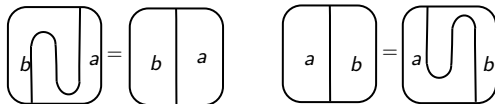
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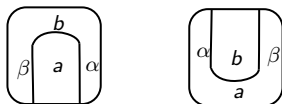


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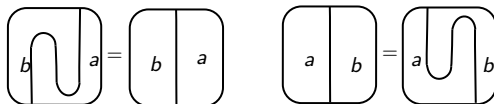
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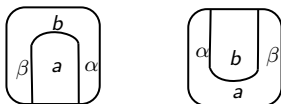


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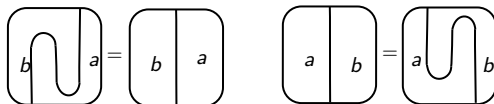
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Jones index of subfactors can be computed as a categorical dimension function in  $W^*$ :

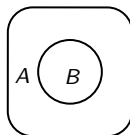


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## Theorem (Bartels, Douglas, Henriques '14)

*Let  $A \subseteq B$  be a subfactor. The bimodule  ${}_A L^2(B)_B$  dualizable in  $W^*$  if and only if  $[B : A] < \infty$ . Moreover, in this case  $[B : A]$  is the square root of the shaded wire diagram:*

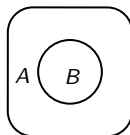


# Index

Jones index of subfactors can be computed as a categorical dimension function in  $W^*$ :

## Theorem (Bartels, Douglas, Henriques '14)

*Let  $A \subseteq B$  be a subfactor. The bimodule  ${}_A L^2(B)_B$  dualizable in  $W^*$  if and only if  $[B : A] < \infty$ . Moreover, in this case  $[B : A]$  is the square root of the shaded wire diagram:*



Bartels A., Douglas C.L., Hénriques A. Dualizability and index of subfactors. Quantum Topology 5 (2014), 289-345.

## Open questions on $W^*$

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# Symmetric monoidal bicategories

Symmetric monoidal bicategories are rather technical objects. Their definition requires coherence data to be defined in terms of vertically invertible 2-morphisms satisfying the Zamolodchikov tetrahedral equations. See:

M. M. Kapranov, V. A. Voevodski, 2-categories and Zamolodchikov tetrahedra equations. Quantum and infinite dimensional methods, 2; 177-260; 1994

**Alternative:** Lift  $W^*$  to a **symmetric monoidal double category**. Shulman M. A., Constructing symmetric monoidal bicategories. arXiv:1004.0993 Then lift this structure to a **bicategory internal to symmetric monoidal categories** Douglas C. L., Hénriques A. Internal bicategories. arXiv:1206.4284. Problem already considered in constructing a symmetric monoidal tricategory of coordinate free conformal nets. **Key idea:** lift to a double category, then define monoidal structure. Also classically done for **Mod**.

# Double categories of von Neumann algebras

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# Pictorial representation

Let  $C$  be a double category. We call objects and morphisms of  $C_0$  the **objects** and **vertical morphisms** of  $C$ .

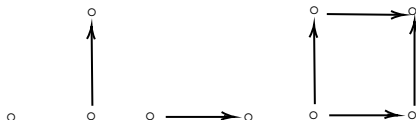
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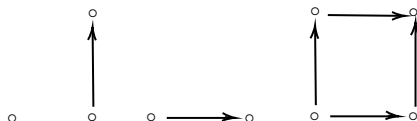
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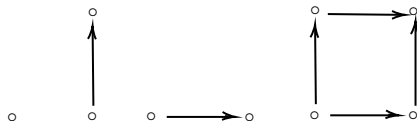
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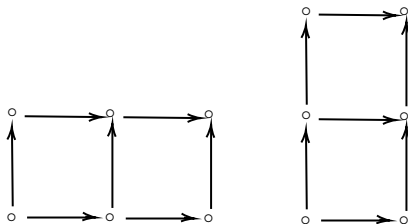
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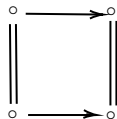


Horizontal and vertical composition are implemented by horizontal and vertical concatenation resp. i.e. as:



# The horizontal bicategory

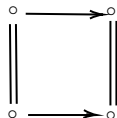
Let  $C$  be a double category. A square in  $C$  is **globular** if it is of the form:



Objects, horizontal morphisms and globular squares of  $C$  form a bicategory, denoted by  $HC$  and called the **horizontal bicategory** of  $C$ . The function  $C \mapsto HC$  extends to a functor  $H : \mathbf{dCat} \rightarrow \mathbf{bCat}$ .

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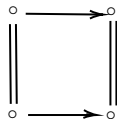
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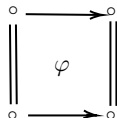
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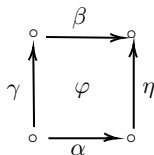
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where  $\varphi$  is a 2-morphism in  $B$ .  $\mathbb{H}B$  referred to as the **trivial double category** associated to  $B$ . The function  $B \mapsto \mathbb{H}B$  extends to an embedding  $\mathbb{H} : \mathbf{bCat} \rightarrow \mathbf{dCat}$ .  $H$  and  $\mathbb{H}$  are related via  $\mathbb{H} \dashv H$ .

## Another right inverse to $H$

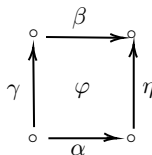
Let  $B$  be a 2-category. Write  $\mathbf{QB}$  for the double category whose squares are of the form:



where  $\varphi$  is a 2-morphism, in  $B$ , from  $\eta\alpha$  to  $\beta\gamma$ . We denote any such square by a quintet  $(\varphi; \alpha, \gamma, \beta, \eta)$  and we call  $\mathbf{QB}$  the **Ehresmann double category of quintets** of  $B$ .

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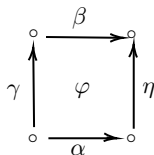


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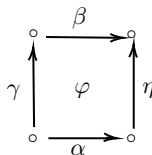
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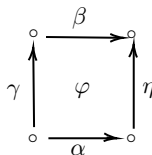
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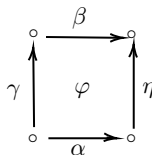
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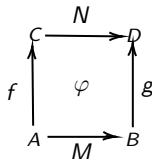
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# The double category of algebras

Write **[Mod]** for the double category whose squares are of the form:



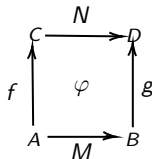
where  $A, B, C$  and  $D$  are algebras,  ${}_A M_B$  and  ${}_C N_D$  are bimodules,  $f : A \rightarrow C$  and  $g : B \rightarrow D$  are unital algebra morphisms, and  $\varphi : M \rightarrow N$  is a linear transformation such that the equation:

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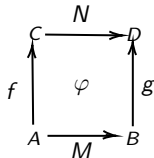
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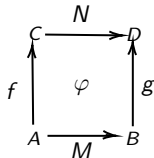
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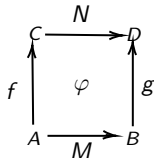
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holds i.e. the squares of **[Mod]** are **equivariant bimodule morphisms**. Horizontal identity and horizontal composition in **[Mod]** are defined by the obvious functorial extensions of  $A \mapsto_A A_A$  and  $(M_{B,B} N) \mapsto M \otimes_B N$ . **Mod** and **[Mod]** are related by the equation  $H[\mathbf{Mod}] = \mathbf{Mod}$ .

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# Mod-like bicategories

**Observation:** There are essentially two types of bicategories, exemplified by **Cat** and **Mod**. **Cat** has objects, function-type morphisms between objects as 1-morphisms, and 'deformations' between these horizontal morphisms as 2-morphisms.

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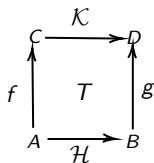
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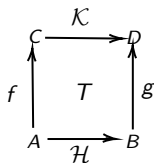


with  $A, B, C, D$  von Neumann algebras,  ${}_A\mathcal{H}_B$  and  ${}_C\mathcal{K}_D$  bimodules,  $f : A \rightarrow C$ ,  $g : B \rightarrow D$   $*$ -morphisms and  $T : H \rightarrow K$  bounded s.t:

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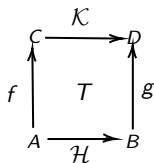
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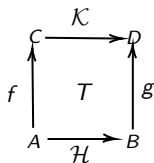
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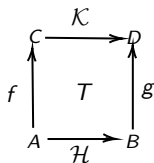
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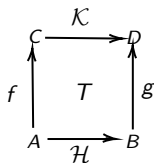
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**Highly nontrivial.**

## BDH identity and composition

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**Technique:** Use of the theory of minimal conditional expectations for finite index subfactors [Kosaki 91] in an essential way. **No version of these techniques for infinite index available!**

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- **BDH** directly recognizes strong Morita equivalence, finite index, isomorphisms of factors.

# Open questions

**Open question:** Is there a double category of general von Neumann algebras (not-necessarily factors) and von Neumann algebra morphisms  $C$  such that  $HC = W^*$  and such that  $BDH$  is a sub-double category of  $C$ ? The theory of von Neumann algebras does not give us direct tools to extend  $BDH$  to general morphisms.

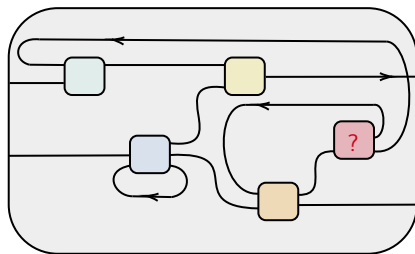
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[O'19'] There exists a free double category of factors and general morphisms  $Q_{Fact}$  such that  $HQ_{Fact} = Fact^*$ .  $Q_{Fact}$  does not contain  $BDH$ .

[O'20] There exists a double category of factors and general morphisms  $\tilde{Q}_{Fact}$  such that  $H\tilde{Q}_{Fact} = Fact$  having  $BDH$  as sub-double category.  $Q_{Fact}$  and  $\tilde{Q}_{Fact}$  are related via a non-trivial double projection and are not double-equivalent.

[O'20] If we write  $W_{epi}^*$  for the category of general von Neumann algebras and epimorphisms, then there exists a double category  $C^\Phi$  satisfying  $HC^\Phi = W_{epi}^*$ .  $C^\Phi$  is constructed using a version of the Grothendieck construction for an **End**-indexing  $\Phi$  of  $W_{epi}^*$ .

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Thank you



Thank you!